



PTO/SB/17 (07-07)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2007

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 10/500,259
Filing Date June 25, 2004
First Named Inventor Paul M. Lindberg
Examiner Name Le, Dang D
Art Unit 2834
Attorney Docket No. 104497-423(PCT) US (CLD-003NP)

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 06-0923 Deposit Account Name: Goodwin Procter LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims Extra Claims Fee (\$) Fee Paid (\$)
- 20 or HP = 0 x 50 = 0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)
- 3 or HP = 0 x 200 = 0

HP = highest number of independent claims paid for, if greater than 3.

Small Entity
Fee (\$)
50
200
360
Fee (\$)
25
100
180

Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)
- 100 = / 50 = (round up to a whole number) x =

4. OTHER FEES(S)

Non-English Specification, \$130 fee (no small entity discount)

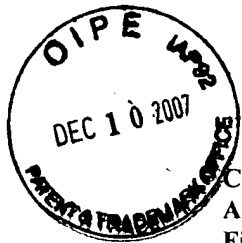
Other (e.g., late filing surcharge): Fee in accordance with 37 CFR 1.17(p) \$180

SUBMITTED BY

Signature Betsy Kingsbury Dowd Registration No. 52,830 Telephone 212-459-7435
Name (Print/Type) Betsy Kingsbury Dowd (Attorney/Agent) Date December 6, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Country: US
Application No.: 10/500,259
Filing Date: June 25, 2004
Inventor: Lindberg, et al.
Title: Bearing Surface Layer for Magnetic Motor
Atty Docket No.: 104497-423-(PCT) US (CLD-003 NP)

CERTIFICATE OF MAILING

Deposited: December 6, 2007

I hereby certify that this and the enclosed paper(s) and/or fee(s) is/are being deposited with the United States Postal Service as "First Class" mail on the date indicated above, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Submitted herewith are the following items:

1. This Certificate of Mailing (1 page);
2. Fee Transmittal (1 page);
3. Information Disclosure Statement (3 pages);
4. Information Disclosure Statement By Applicant (PTO/SB/08A) (2 pages) plus 7 cited foreign references; and
5. Return Receipt Postcard.

TOTAL PAGES IN THIS SUBMISSION: 7 pages (plus 7 references) + postcard

Francene Sawyer
Francene Sawyer



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lindberg, et al.

Examiner: Dang D. Le

Serial No.: 10/500,259

Group Art Unit: 2834

Filed: June 25, 2004

Docket: 104497-423-(PCT) US (CLD-003 NP)

For: Bearing Surface Layer for Magnetic Motor

Dated: December 6, 2007

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Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

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Francene Sawyer
Francene Sawyer

December 6, 2007
Date

Information Disclosure Statement

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

FOREIGN PATENT DOCUMENTS

| <u>INVENTOR (S)</u> | <u>PUBLICATION NO.</u> | <u>PUBLICATION DATE</u> |
|----------------------------|-------------------------------|--------------------------------|
| Kanayama, et al. | 61-221561 | October 1, 1986 |
| Karita, et al. | 63-217965 | September 12, 1988 |
| Umehara | 09-182408 | July 11, 1997 |
| Kaido | 10-051986 | February 20, 1998 |
| Tsutsui, et al. | 10-290560 | October 27, 1998 |

Akazawa, et al.

2001-090660

April 3, 2001

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2001-095225

April 6, 2001

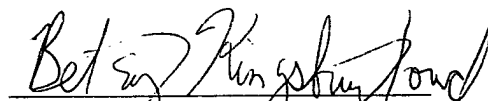
A separate listing of each of the references set forth above has been set forth on the attached PTO Form SB-08A.

A copy of each of the foreign patent documents has been enclosed herewith for the convenience of the Examiner. An English abstract of any non-English document are also provided herewith in compliance with 37 C.F.R. §1.98(a)(3) along with a copy of the corresponding U.S. patent, where available.

In accordance with the provisions of 37 C.F.R. §1.97(c)(2), this statement is being filed with the requisite fee under 37 C.F.R. §1.17(p), namely \$180.00, as it is being filed after the period defined in 1.97(b) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. §1.311.

If it is determined that any additional fees are due, please charge Goodwin Procter LLP Deposit Account No. 06-0923. In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



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December 6, 2007



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